NON-LINEAR SWING-UP AND STABILIZING CONTROL OF AN INVERTED PENDULUM SYSTEM

ABSTRACT

This paper presents the design and implementation of a complete control system for the swing-up and stabilizing control of an inverted pendulum. In particular, this work outlines the effectiveness of the swing-up method proposed in [1], based on feedback linearization and energy considerations. The power of modern state-space techniques for the analysis and control of Multiple Input Multiple Output (MIMO) systems is also investigated and a state-feedback controller is employed for stabilizing the pendulum. Cascade control is then utilized to reduce the complexity of the complete controller by splitting it into two separate control loops operating at well distinct bandwidths.

KEYWORDS

Inverted pendulum, Non-linear systems, Swing-up, Stabilization, Feedback linearization, State-feedback control, Cascade control

1. INTRODUCTION

Being an under-actuated mechanical system and inherently open loop unstable with highly non-linear dynamics, the inverted pendulum system is a perfect test-bed for the design of a wide range of classical and contemporary control techniques. Its applications range widely from robotics to space rocket guidance systems. Originally, these systems were used to illustrate ideas in linear control theory such as the control of linear unstable systems. Their inherent non-linear nature helped them to maintain their usefulness along the years and they are now used to illustrate several ideas emerging in the field of modern non-linear control.

A Single rod Inverted Pendulum (SIP) consists of a freely pivoted rod, mounted on a motor driven cart. With the rod exactly centred above the motionless cart, there are no sidelong resultant forces on the rod and it remains balanced as shown in Figure 1.1a. In principle it can stay this way indefinitely, but in practice it never does. Any disturbance that shifts the rod away from equilibrium, gives rise to forces that push the rod farther from this equilibrium point, implying that the upright equilibrium point is inherently unstable as shown in Figure 1.1b. Under no external forces, the rod would always come to rest in the downward equilibrium point, hanging down as shown in Figure 1.1c. This is called the pendant position. This equilibrium point is stable as opposed to the upright equilibrium point.

The control task is to swing up the pendulum from its natural pendant position and to stabilize it in the inverted position, once it reaches the upright equilibrium point. The cart must also be homed to a reference position on the rail. All this is achieved only by moving the cart back and forth within the limited cart travel along the rail.

The inverted pendulum system belongs to the class of underactuated mechanical systems having fewer control inputs than degrees of freedom. This renders the control task more challenging making the inverted pendulum system a classical benchmark for testing different control techniques.



Fig. 1.1. Equilibrium Points

There are a number of different versions of inverted pendulum systems offering a variety of interesting control challenges. The most common types are: the single rod on a cart inverted pendulum considered in [1,2,3], the Double Inverted Pendulum (DIP) on a cart setup [4], the rotational single-arm pendulum [5,6] and the rotational two-link pendulum [7]. The control techniques involved are also numerous, ranging from simple conventional controllers to advanced control techniques based on modern non-linear control theory.

As a result of their nature, most of these papers deal with the problem in a purely theoretical manner without going into the practical considerations necessary in the design and implementation of a practical inverted pendulum rig. This paper aims at presenting a complete solution unifying the theoretical and practical aspects of the problem, along with a set of experimental results demonstrating the effectiveness of the complete implemented system.

The paper is organized as follows: Section 2 presents a brief overview of the complete system. Section 3 deals with the mathematical dynamic model of the system used both for the computer simulations and for the mathematical design of the controllers. Section 4 goes through the main steps in the design of the control algorithms and their digital implementation. The design and construction of the physical electro-mechanical setup of the system is briefly described in Section 5. Section 6 presents some simulation and practical results; outlining any discrepancies between the two and finally some conclusions are drawn in Section 7.

2. SYSTEM OVERVIEW

3.1. NON-LINEAR DYNAMIC MODEL

This project consists in the design and implementation of both the physical system making up the inverted pendulum rig, and the control algorithms employed to attain the control task described in Section 1. The physical part of the system is made up of the mechanical setup composed of the motor driven cart and pendulum assembly, together with sensors and electronic circuitry. The control algorithms are implemented on a Digital Signal Processor (DSP) based system.

Figure 2.1 depicts the complete closed loop system. The DSP block is the main controller, made up of the TMS320C6711 DSP board from Texas Instruments equipped with the AED-103, an Input/Output (I/O) daughter module from Signalware Corporation. The system states x and θ , corresponding to the cart and pendulum displacements respectively, are obtained from incremental and absolute optical encoders mounted on the moving cart. These signals are fed to the DSP board which computes the other two states $\dot{\theta}$ and \dot{x} (using finite backward difference equations), and uses the control algorithm to issue an output signal corresponding to a torque reference. This torque command is then fed as a reference input to the analogue Proportional-Integral (PI) controller, regulating the motor torque accordingly by taking into account the motor dynamics. This controller uses the motor current, sensed by a Hall-effect sensor, as the feedback signal.



Fig. 2.1. Complete Closed Loop System

3. MATHEMATICAL MODELLING

The dynamic model of the whole system consists of two separate sub-models, namely: the non-linear model of the inverted pendulum, and a linear model of the Permanent Magnet (PM) DC motor powering the cart. This division was adopted in order to keep the non-linear dynamic equations of the inverted pendulum as simple as possible, which is imperative for the design of the non-linear swing-up controller. The non-linear inverted pendulum model considers the force on the cart as the input, and the angle of the pendulum and cart displacement as the outputs. The motor model considers the motor terminal voltage as its input and the shaft torque as its output. Both models are derived separately and the resulting dynamic equations are then used in the design stage to develop two different control systems operating simultaneously at well distinct bandwidths (cascade control).



Fig. 3.1. Rod and cart setup and its force diagram

Referring to Figure 3.1 and applying Newton's 2^{nd} law at the centre of gravity of the pendulum along the horizontal & vertical components yields

$$V - mg = m\frac{d^2}{dt^2}(L\cos\theta)$$
(1)

$$H = m \frac{d^2}{dt^2} (x + L\sin\theta)$$
⁽²⁾

Taking moments about the centre of gravity yields the torque equation

$$I\ddot{\theta} + c\dot{\theta} = VL\sin\theta - HL\cos\theta \tag{3}$$

Applying Newton's 2nd law for the cart yields

$$F - H = M\ddot{x} + k\dot{x} \tag{4}$$

where *m* is the mass at the Centre Of Gravity (COG) of the pendulum; *M* is the mass of the cart; *L* is the distance from the COG of the pendulum to the pivot; *x* is the horizontal displacement of the cart; *g* is the gravitational acceleration; θ is the rod angular displacement; *k* is the cart viscous friction coefficient; *c* is the pendulum viscous friction coefficient; *I* is the moment of inertia of the pendulum about the COG; *V* & *H* are the vertical & horizontal reaction forces on the rod and *F* is the horizontal control force on the cart.

Combining Equations (1) to (4), the non-linear mathematical model of the cart and pendulum system is obtained and is given by Equations (5) and (6).

$$\ddot{\theta} = \frac{1}{I + L^2 m} \left[Lm(g\sin\theta - \ddot{x}\cos\theta) - c\dot{\theta} \right]$$
(5)

$$\ddot{x} = \frac{1}{M+m} \left[F - Lm(\ddot{\theta}\cos\theta - \dot{\theta}^2\sin\theta) - k\dot{x} \right]$$
(6)

3.2. LINEARIZED MODEL IN STATE-SPACE FORM

Equations (5) and (6) were used to model the open-loop inverted pendulum (motor dynamics not included) during simulations. The same non-linear model was used for the design of the non-linear swing-up controller. However, for the design of the linear state-feedback controller, used for stabilization, a linearized version of these equations was used. The inverted position of the pendulum corresponds to the unstable equilibrium point $(\theta, \dot{\theta}) = (0,0)$. This corresponds to

the origin of the state space. In the neighbourhood of this equilibrium point, both θ and $\dot{\theta}$ are very small (in rad & rad/sec respectively). In general, for small angles of θ and $\dot{\theta}$: $\sin(\theta) \approx \theta$, $\cos(\theta) \approx 1$ and $(\dot{\theta})^2 \theta \approx 0$. Using these approximations in Equations (5) and (6), the mathematical model linearized around the unstable equilibrium point of the inverted pendulum is obtained, and given by Equations (7) and (8).

$$\ddot{\theta} = \frac{1}{I + L^2 m} \left[Lm(g\theta - \ddot{x}) - c\dot{\theta} \right]$$
⁽⁷⁾

$$\ddot{x} = \frac{1}{M+m} \left[F - Lm\ddot{\theta} - k\dot{x} \right] \tag{8}$$

To get these two equations into valid state space matrix form both \ddot{x} and $\ddot{\theta}$ must be functions of lower order terms only. Hence, \ddot{x} must be substituted for in (7) using (8), and similarly $\ddot{\theta}$ substituted for in (8) using (7). Writing the resulting equations in matrix form, the linearized state-space model is obtained and is given by the matrix linear Equations (9) and (10).

$$\underline{\dot{s}} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & -kv_2 & \frac{-(Lm)^2 gv_2}{I + L^2 m} & \frac{Lmcv_2}{I + L^2 m} \\ 0 & 0 & 0 & 1 \\ 0 & \frac{Lmkv_1}{M + m} & Lmgv_1 & -cv_1 \end{bmatrix} \underline{s} + \begin{bmatrix} 0 \\ v_2 \\ 0 \\ \frac{-Lmv_1}{M + m} \end{bmatrix} F$$
(9)

$$\underline{y} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \underline{s}$$
(10)

where state vector; $\underline{s} = \begin{bmatrix} x & \dot{x} & \theta & \dot{\theta} \end{bmatrix}^{\mathrm{T}}$ (11) and output vector; $y = \begin{bmatrix} x & \theta \end{bmatrix}^{\mathrm{T}}$ (12)

$$v_1 = \frac{M+m}{I(M+m) + L^2 m M}$$
 and $v_2 = \frac{I+L^2 m}{I(M+m) + L^2 m M}$ (13), (14)

3.3. PERMANENT MAGNET DC MOTOR DYNAMICS

The mathematical model of the DC PM motor was required for the design of the motor torque PI controller. The mathematical model for the motor, considering the motor terminal voltage as input and the shaft torque as output, is given by the transfer function of Equation (15).

$$\frac{T(s)}{V_T(s)} = \frac{\binom{K_t}{L}s}{s^2 + \binom{R}{L}s + \binom{K_t^2}{LJ}}$$
(15)

Where L is the terminal inductance of the motor; R is the terminal resistance; K_t is the torque constant; J is the rotor inertia; V_T is the terminal voltage and T is the developed torque.

4. DESIGN OF CONTROL ALGORITHMS

The inverted pendulum control was split in two main phases: the swing-up phase and the stabilizing phase. The former uses a non-linear controller to swing-up the pendulum, keeping the cart within a limited travel range on the rail. The latter uses a linear state-feedback controller to stabilize the pendulum in the inverted position once it approaches the upper unstable equilibrium point. It is also required to home the cart to a reference point on the rail, once the pendulum is stabilized. A transition algorithm switches smoothly from one control phase to the other.

4.1. SWING-UP CONTROL

The method proposed in [1] and adopted for the swing-up control phase in this project is briefly discussed in this section. Reference [1] contains a thorough explanation of this method. This technique aims at swinging up the pendulum, while keeping the cart within a limited horizontal travel on the rail. This is achieved by satisfying a particular mathematical condition, derived from the mechanical energy equations of the pendulum, while constructing a linear servo system, using a sinusoidal reference input generated from the pendulum trajectory. The total mechanical energy of the pendulum *V*, and its derivative \dot{V} , are given by Equations (16) and (17).

$$V = \frac{1}{2}mL^2\dot{\theta}^2 + mgL(1 - \cos\theta)$$
(16)

$$\dot{V} = mL\dot{\theta}(\cos\theta)\ddot{x} \tag{17}$$

From Equations (16) and (17), it is seen that V can be increased or decreased by changing the sign (sgn) of \ddot{x} in accordance with that of $\dot{\theta}\cos\theta$. If $\operatorname{sgn}(\ddot{x}) = \operatorname{sgn}(\dot{\theta}\cos\theta)$ then $\dot{V} > 0$, similarly if sgn(\ddot{x}) = -sgn ($\dot{\theta} \cos \theta$) then $\dot{V} < 0$. Hence, energy can be pumped into the pendulum by generating \ddot{x} (an acceleration on the cart) satisfying the sign conditions listed above. However, one cannot concentrate on swinging-up the pendulum only, without considering the finite cart travel (limited range for x). Therefore, \ddot{x} has to be controlled whilst keeping the constraint on x in mind. Basically, the design method proposed in [1] suggests; constructing a control law such that the resulting closed loop system is linear (through feedback linearization) and of the form of a second order servo system for x, having a sinusoidal reference input to ensure the desired bounded nature of x. This reference input is derived from $(\theta, \dot{\theta})$, and generates \ddot{x} satisfying the sign condition given above. This is done in order to control V to the prescribed value corresponding to the energy of the pendulum at the upright equilibrium point. Since the pair of $(\theta, \dot{\theta})$ that makes V equals to the desired value is not unique, the upright equilibrium point cannot be stabilized using only this control method. For this reason a different control law is utilized when the pendulum approaches the upright equilibrium point. This is referred to as stabilizing control.

4.2. STABILIZING CONTROL

This control method is based on state-space pole-placement design techniques using the linearized model of the inverted pendulum. This implies that the stabilizing control by itself will only ensure local stability, in the vicinity of the upright equilibrium point, the point about which the equations were linearized. The pole-placement technique permits the design of a linear controller that achieves arbitrary desired closed loop poles. The desired poles should be chosen wisely such that some desired closed loop characteristics are achieved. The final control law from this design, is the result of a matrix multiplication between the state vector s and a gain matrix of compatible dimensions K^T , such that $F = -K^T s$. In this particular design, a small settling time and a high damping ratio were required. To meet these specifications, the closed loop poles were placed at $s = \mu_i$ (*i* = 1,2,3,4), where $\mu_1 = -4.05 + j1.962$, $\mu_2 = -4.05 - j1.962$, $\mu_3 = -10$ and $\mu_4 = -10$. Basically, μ_1 and μ_2 are a pair of dominant closedloop poles with damping factor $\xi = 0.9$ and natural frequency $\omega_n = 4.5 \text{ rad/sec}$, resulting in a settling time of approximately 1 second. The other two poles are located far to the left of the dominant pair of closed loop poles and therefore, their effect over the overall response is minimal.

4.3. TRANSITION ALGORITHM

An intermediate algorithm was designed to switch from the swing-up controller to the stabilizing controller and vice-versa, depending on the state variables θ and $\dot{\theta}$. More precisely, this algorithm performs a smooth transition from one control law to the other by averaging the outputs of the two controllers in the transition region. This avoids what is known as *hard switching*, which may upset the system due to parameter uncertainties and un-modelled dynamics. Basically, if θ and $\dot{\theta}$ are both close to zero, only the stabilizing controller is used. Similarly if they are much higher than zero, only the swing-up algorithm is used. In between these two extremes a region was created, the transition region, in which both algorithms are processed and weighted accordingly, leading to *soft switching*.

4.4. DIGITAL IMPLEMENTATION

The swing-up and stabilizing controllers were implemented on the TMS320C6711 DSP. For this reason, the corresponding control laws had to be implemented digitally in C. For linear control systems, the effects of sampling are usually taken into consideration by discretization; a conversion of the continuous time dynamic equations, taking into account the sampling process and the holding devices. However, non-linear physical systems that are continuous in nature are hard to meaningfully discretize [8], since well-known discretization techniques, like the Z-transform, do not apply for non-linear systems. Therefore, non-linear digital control systems are usually treated as continuous time systems in analysis and design. This approach is only justified if high sampling rates, compared to the bandwidth of the plant under control, are used. Another important factor in digital control systems is that the time period between the sensing of information and actuation should be much shorter than the sampling period itself. In this system a sampling frequency of 100Hz was chosen after mathematical analysis revealed that the highest bandwidth in the system is around 1.8Hz. These requirements and the computational complexity of the non-linear control algorithm were the main reasons for using a floating point DSP to implement the digital controller.

5. PHYSICAL SETUP-DESIGN & CONSTRUCTION

Referring to Figure 2.1, the main sub-systems are briefly described in the following sub-sections.

5.1. INVERTED PENDULUM RACK & PINION CART ASSEMBLY, ENCODERS AND MOTOR

This block represents the mechanical setup making up the test rig. Basically it consists of a two-meter L-shaped wooden bench equipped with a linear-guide rail on its horizontal face and a toothed rack mounted on the vertical face. The cart is an aluminium structure that slides over the linear-guide rail using a compatible linear-guide carriage fitted at the bottom as shown in Figures 7.1a and 7.1b. The cart holds a 250W, 36V Rare-Earth (RE) PM DC motor from MAXON and the two optical encoders. An incremental optical encoder from OMRON (200-pulses/rev) was used to measure the linear displacement of the cart on the rail while a parallel 12-bit absolute optical encoder from HENGSTLER was used to measure the pendulum angular position. The motor and the incremental encoder are equipped with steel pinions to engage firmly in the toothed rack. The pendulum (a hollow aluminium rod with a mass at its end) was fitted directly onto the absolute encoder's shaft. It is important to note that the motor was directly coupled to the pinion, without using a gearbox in between. This was done intentionally to avoid the nonlinearities associated with gearboxes such as hysteresis, which cannot be modelled easily.

5.2. POWER ELECTRONIC CONVERTER

After determining the required motor specifications, an adequate DC drive system was chosen. Conventional linear power amplification methods were found to be inadequate for the peak power levels required, and so a Pulse-Width Modulation (PWM) switching technique proved to be a wiser choice. A 4-quadrant full-bridge DC-DC converter, using bipolar voltage switching was selected. An Intelligent Power Module (IPM) from FUJI ELECTRIC, incorporating four power IGBTs and several protection features, was used as the H-Bridge. The corresponding IPM-Driver Board from the same manufacturer was used to provide opto-isolation between the control circuitry and the IPM itself, and to provide each upper IGBT with an independent power supply.

5.3. PWM GENERATION AND SHUTDOWN LOGIC CIRCUITRY

To generate the bipolar PWM signals, a separate analogue electronic board was designed. This board receives a reference voltage from the preceding analogue PI torque controller and generates the PWM signals required to drive the IPM accordingly. It uses the Advanced PWM Motor Controller IC,

UC3638, from TI to modulate the reference signal. The same board incorporates logic circuitry that monitors the safety/alarm signals coming from several other circuits in the system and enables the shutdown pin of the UC3638 in case of system failure. These protection schemes include: motor overcurrent protection, IPM alarm signal monitoring, cart travel limit switches, manual trip and a DSP command trip signal.

5.4. ANALOGUE PI TORQUE CONTROLLER AND SIGNAL CONDITIONING

The main control program implemented in software using the DSP system issues a torque request signal after processing the data acquired from the sensors. It is the responsibility of the analogue torque controller board that the motor produces this torque as fast as possible and without any steady state error. This controller had to be implemented separately from the software controller in order to keep the non-linear control equations as simple as possible resulting in faster processing. To achieve this fundamental requirement, an analogue PI controller was designed using root-locus techniques. In this manner, an inner torque control loop was created and uses the instantaneous motor current, sensed by means of a Hall effect sensor from LEM, to achieve negative feedback. Additionally this board takes care of the signal conditioning, such as shifting, amplification, limiting, offset nulling and filtering.

5.5. I/O INTERFACING BOARD

This board is responsible for the interconnections between the digital/analogue signals between the AED-103 data acquisition module and the rest of the system. It feeds the 12-bit word coming from the absolute encoder to the digital lines of the daughter-board; uses the phase encoded output of the incremental encoder to generate an external interrupt to the processor to increment/decrement the horizontal displacement counter; provides a connection to a DAC of the DAQ board (used to output the torque request signal); includes a control line for latching the absolute encoder word prior to reading and a control line issuing a shutdown command from the DSP board.

5.6. TMS320C6711 DSP BOARD & AED103 I/O DAUGHTER MODULE

These two boards make up the floating-point DSP system used to implement the pendulum swing-up and stabilizing control algorithms. The DSP motherboard was equipped with its I/O daughter module providing the input/output capabilities required. In this project, one of the D/A converters on this board was used to output the analogue voltage corresponding to the torque reference computed by the DSP. Its digital I/O lines were used to interface the absolute and incremental encoders to the DSP system. One of these buffered digital inputs was purposely connected to the DSP to function as an external interrupt. This slight modification allowed the incremental encoder to be interfaced directly to the DSP without using an encoder counter IC.

6. SIMULATION & PRACTICAL RESULTS

The controllers discussed in Section 4, were simulated using the non-linear model of the inverted pendulum. It is important to note that the inner loop dynamics were neglected in the simulations of the inverted pendulum controller. This assumption is valid since in practice the inner control loop was designed with a much higher bandwidth than the pendulum dynamics.



Fig. 6.1. Simulation of Swing-Up & Stabilization

Figure 6.1 shows the simulation plots for θ and x during swing-up and stabilization. Initially the pendulum is in the pendant position. It swings-up gradually, responding to the bounded oscillations of the cart. Up to 3.91 seconds the swing-up controller is in control. Then, the transition algorithm takes over till 3.99 seconds. The state-feedback controller takes over completely for the rest of the time, stabilizing the pendulum in the inverted position and homing the cart to the reference point on the rail. Note that the pendulum swings-up and stabilizes in less than 5 seconds.



Fig. 6.2. Practical Swing-Up & Stabilization

Figure 6.2 shows the actual experimental plots for θ and x acquired from a swing-up and stabilization test using the physical inverted pendulum system designed and implemented in this project. It is clear that the pendulum swung-up from its

initial pendant position and stabilized in the inverted position, with the cart homing back to its initial reference point, in less than 5 seconds. The cart oscillations are also limited between 16.29cm and 28.6cm during swing-up, showing the effectiveness of the servo cart dynamics imposed by feedback linearization as proposed in [1]. These results are almost a replica of the simulations results shown in Figure 6.1, with the slight difference that in practice both the cart and the pendulum keep oscillating slightly about the reference after they are stabilized. These oscillations are very small, less than 5cm for the cart and 1degree for the pendulum and are accounted for by the continuous air disturbances on the rod, non-linear un-modelled dynamics, such as Coulomb friction, pinion backlash, motor dead-zone and magnetic hysteresis, and mechanical imperfections.



Figure 6.3 shows a practical plot of θ , during stabilization with non-zero angle initial condition and momentary rod external disturbances. It is clear that the pendulum stabilized quickly, (less than a second) from an initial angle of -18 degrees. Then,

the rod was physically disturbed (momentarily hit by the experimenter) at t = 4s and t = 9.3s, each time regaining stability in the inverted position in less than a second. This shows the fast response and robust nature of the controller.

7. CONCLUSIONS

The results presented in Section 6 verify that the system designed and implemented in this project was successful. The control task stated in Section 1 was completely fulfilled, i.e. the pendulum swung-up from its natural pendant position according to the algorithm developed in [1], and stabilized in the inverted open-loop unstable position using state-feedback pole-placement. The cart also homed back quickly to a reference position on the rail. The controlled inverted pendulum was proven to be highly robust for external rod disturbances and the controller exhibited stability for both x and θ given any initial conditions. Figures 7.1a and 7.1b show the pendulum rig, designed and built during this project, in the pendant and inverted positions respectively, during real life experiments.



Fig. 7.1. (a) Pendant pendulum (b) Inverted pendulum

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